SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287						
Estimated average burden						
hours per response	: 0.5					

obligations may continue. See Instruction 1(b).			d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		hours per response: 0			
1. Name and Address of Reporting Person* <u>Heyman Richard A.</u>		:on [*]	2. Issuer Name and Ticker or Trading Symbol Oric Pharmaceuticals, Inc. [ORIC]		all applicab Director	,	10% Owner	r
(Last) C/O ORIC PHA 240 E. GRAND			3. Date of Earliest Transaction (Month/Day/Year) 05/14/2021		Officer (gi below)	ve title	Other (spec below)	city
(Street) SOUTH SAN FRANCISCO	CA	94080	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed	nt/Group Filing I by One Repor I by More than	rting Person	

RANCISCO	CA

(State)

(City)

	Person
(Zip)	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	((1150.14)
Common Stock	05/14/2021		S ⁽¹⁾		3,215	D	\$25.3133 ⁽²⁾	223,885	I	See footnote ⁽³⁾
Common Stock	05/17/2021		S ⁽¹⁾		498	D	\$25.0679 ⁽⁴⁾	223,387	I	See footnote ⁽³⁾
Common Stock	05/18/2021		S ⁽¹⁾		2,161	D	\$25.3195 ⁽⁵⁾	221,226	I	See footnote ⁽³⁾
Common Stock								12,500	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10. Conversion Execution Date Transaction Code (Instr. Expiration Date (Month/Day/Year) Ownership Derivative Date Amount of Derivative derivative of Indirect (Month/Dav/Year) Security or Exercise if any Derivative Securities Security Securities Form: Beneficial (Instr. 3) Price of (Month/Day/Year) 8) Securities Underlying (Instr. 5) Beneficially Direct (D) Ownership Acquired Derivative Derivative Owned or Indirect (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Security Following (I) (Instr. 4) Reported of (D) Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Expiration Date Exercisable of Shares Code v (A) (D) Date Title

Explanation of Responses:

1. The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by RAHD Capital, LLC.

2. Represents the weighted average share price of an aggregate total of 3,215 shares sold in the price range of \$25.00 to \$25.83 by the Reporting Person. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

3. The shares are held of record by RAHD Capital, LLC, and the Reporting Person has voting and investment power with respect to such shares.

4. Represents the weighted average share price of an aggregate total of 498 shares sold in the price range of \$25.00 to \$25.14 by the Reporting Person. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

5. Represents the weighted average share price of an aggregate total of 2,161 shares sold in the price range of \$25.00 to \$25.92 by the Reporting Person. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Remarks:

Exhibit 24 - Power of Attorney

<u>/s/ Christian Kuhlen, attorney-</u> <u>05/18/2021</u> <u>in-fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

The undersigned, as a Section 16 reporting person of Oric Pharmaceuticals, Inc. (the "Company"), hereby constitutes and appoints Jacob Chacko, Dominic Piscitelli, and Christian Kuhlen, and each of them, as the undersigned's true and lawful attorney-in-fact to:

- prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain EDGAR codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC;
- 2. complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorney-in-fact shall in his discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- 3. do all acts necessary in order to file such forms with the SEC, any securities exchange or national association, the Company and such other person or agency as the attorneys-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorneys in-fact and agents shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 29th day of April, 2021.

Signature: /s/ Richard A. Heyman Print Name: Richard A. Heyman